Attorney's Docket No.: 01194-461001 / 03-208

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: DiCarlo et al.

Art Unit : 1616

Serial No.:

10/791,552

Examiner: Unknown

Filed

: March 2, 2004

Title

**EMBOLIZATION** 

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

## **INFORMATION DISCLOSURE STATEMENT**

Applicant submits the references listed on the attached form PTO-1449.

This statement is being filed within three months of the filing date of the application or before the receipt of a first Office action on the merits. The application was filed after June 30, 2003. Accordingly, only copies of foreign patent documents and non-patent literature are enclosed.

Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date:

Sean P. Daley Reg. No. 40,978

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Boston, MA 02110-2804 Telephone: (617) 542-5070

Facsimile: (617) 542-8906

20881138.doc

## CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of D

Signature

Diane C. Brown

Typed or Printed Name of Person Signing Certificate

Substitute Form PTO-1449

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 01194-461001

Application No. 10/791,552

Information Disclosure Statement by Applicant **by Applicant** (Use several sheets if necessary)

Applicant DiCarlo et al.

> **Group Art Unit** 1616

AUG 1 2 2004

Filing Date March 2, 2004

1300			U.S. Pate	ent Documents			
Examiner	Desig.	Document	Publication			-	Filing Date
Initial	ID	Number	Date	Patentee	Class	Subclass	If Appropriate
	AA	2,609,347	09/02/1952	Wilson			
	AB	3,663,470	05/16/1972	Nishimura et al.			
	AC	3,737,398	06/05/1973	Yamaguchi			
	AD	3,957,933	05/18/1976	Egli et al.			
	AE	4,025,686	05/24/1977	Zion			
	AF	4,055,377	10/25/1977	Erickson et al.			
	AG	4,076,640	02/28/1978	Forgensi et al.			
<u>.                                    </u>	AH	4,098,728	07/04/1978	Rosenblatt			
	AI	4,110,529	08/29/1978	Stoy			
	AJ	4,191,672	03/04/1980	Salome et al.			
	AK	4,198,318	04/15/1980	Stowell et al.			
	AL	4,243,794	01/06/1981	White et al.			
	AM	4,246,208	01/20/1981	Dundas			
	AN	4,266,030	05/05/1981	Tschang et al.			
	AO	4,268,495	05/19/1981	Muxfeldt et al.			
	AP	4,271,281	06/02/1981	Kelley et al.			
	AQ	4,413,070	11/01/1983	Rembaum			
	AR	4,427,794	01/24/1984	Lange et al.			
	AS	4,428,869	01/31/1984	Munteanu et al.			
	AT	4,429,062	01/31/1984	Pasztor et al.			
	AU	4,442,843	04/17/1984	Rasor et al.			
	AV	4,444,961	04/24/1984	Timm			
	AW	4,452,773	06/05/1984	Molday		-	
	AX	4,456,693	06/26/1984	Welsh			
	AY	4,459,145	07/10/1984	Elsholz			
	AZ	4,472,552	09/18/1984	Blouin			
	AAA	4,477,255	10/16/1984	Pasztor et al.			

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**Date Considered** 

Substitute Form PTO-1449
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Information Disclosure Statement

Attorney's Docket No. 01194-461001

Applicant

DiCarlo et al.

by Applicant
(Use several sheets if necessary)

(37 CFR §1.98(b))

Applicant
DiCarlo et al.

Filing Date
March 2, 2004

Group Art Unit
1616

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Willia	ABB	4,492,720	01/08/1985	Moiser	Olass	_ Subciass	ii Appropriate
	ACC	4,522,953	06/11/1985	Barby et al			
	ADD	4,542,178	09/17/1985	Zimmermann et al.			
	AEE	4,551,132	11/05/1985	Pasztor et al.			
	AFF	4,551,436	11/05/1985	Johnson et al.			
	AGG	4,573,967	03/04/1986	Hargrove et al.			
	АНН	4,622,362	11/11/1986	Rembaum			
	AII	4,623,706	11/18/1986	Timm et al.			
	AJJ	4,657,756	04/14/1987	Rasor et al.			
	AKK	4,661,137	04/28/1987	Garnier et al.			
	ALL	4,663,358	05/05/1987	Hyon et al.			
	AMM	4,671,954	06/09/1987	Goldberg et al.			
	ANN	4,675,113	06/23/1987	Graves et al.			
	AOO	4,678,814	07/07/1987	Rembaum			
	APP	4,678,710	07/07/1987	Sakimoto et al.			
	AQQ	4,680,320	07/14/1987	Uku et al.			·
	ARR	4,681,119	07/21/1987	Rasor et al.			
	ASS	4,742,086	05/03/1988	Masamizu et al			
	ATT	4,743,507	05/10/1988	Franses et al.			
	AUU	4,772,635	09/20/1988	Mitschker et al.			
	AVV	4,782,097	11/01/1988	Jain et al.			
	AWW	4,789,501	12/06/1988	Day et al.			
	AXX	4,795,741	01/03/1989	Leshchiner et al.			
	AYY	4,801,458	01/31/1989	Hidaka et al.			
	AZZ	4,804,366	02/14/1989	Zdeb et al.			
	AAAA	4,819,637	04/11/1989	Dormandy, Jr. et al.			
	ABBB	4,822,535	04/18/1989	Ekman et al.			

Examiner Signature Date Considered

	Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 01194-461001	Application No. 10/791,552
Information Disclosure Statement by Applicant		Applicant DiCarlo et al.		
	(Use several sh	eets if necessary)	Filing Date March 2, 2004	Group Art Unit 1616

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Examiner	Desig.	Document	Publication				Filing Date
<u>Initial</u>	ID	Number	Date	Patentee	Class	Subclass	If Appropriate
	ACCC	4,833,237	05/23/1989	Kawamura et al			
	ADDD	4,850,978	07/25/1989	Dudar et al.			
-	AEEE	4,859,711	08/22/1989	Jain et al.			
	AFFF	4,863,972	09/05/1989	Itagaki et al.			
-	AGGG	4,929,400	05/29/1990	Rembaum et al.		-	
,	АННН	4,933,372	06/12/1990	Feibush et al			
	AIII	4,954,399	09/04/1990	Tani et al			
	AJJJ	4,981,625	01/01/1991	Rhim et al.			
<del>.</del>	AKKK	4,990,340	02/05/1991	Hidaka et al.			
	ALLL	4,999,188	03/12/1991	Sloldovnik et al.			
	AMMM	5,007,940	04/16/1991	Berg			
	ANNN	5,011,677	04/30/1991	Day et al.			
	A000	5,032,117	07/16/1991	Motta			
	APPP	5,034,324	07/23/1991	Shinozaki et al.			
	AQQQ	5,047,438	09/10/1991	Feibush et al.			
	ARRR	5,079,274	01/07/1992	Schneider et al.			
	ASSS	5,106,903	04/21/1992	Vanderhoff et al.			
	ATTT	5,114,421	05/19/1992	Polak			
	AUUU	5,116,387	05/26/1992	Berg			-
	AVVV	5,120,349	06/09/1992	Stewart et al.			
	AWWW	5,125,892	06/30/1992	Drudik			
	AXXX	5,147,631	09/15/1992	Glajch et al.			
	AYYY	5,147,937	09/15/1992	Frazza et al.			
	AZZZ	5,149,543	09/22/1992	Cohen et al.			
	AAAAA	5,158,573	10/27/1992	Berg			
	ABBBB	5,171,214	12/15/1992	Kolber et al.		_	
	ACCCC	5,171,217	12/15/1992	March et al.		· · · · · · · · · · · · · · · · · · ·	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if no	t in conformance and not considered. Include copy of this form with

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	closure Statement	Applicant DiCarlo et al.		
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				ent Documents			
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
IIIIIIai	ADDDD	5,190,766	03/02/1993	Ishihara	Class	·	п Арргорпасе
	AEEEE	5,202,352	04/13/1993	Okada et al.			
	AFFFF	5,216,096	06/01/1993	Hattori et al.			
	AGGGG	5,253,991	10/19/1993	Yokota et al.			
· · ·	АНННН	5,260,002	11/09/1993	Wang			
,	AIIII	5,262,176	11/16/1993	Palmacci et al.			
	AJJJJ	5,288,763	02/22/1994	Li et al.			
	AKKKK	5,292,814	03/08/1994	Bayer et al.			
	ALLLL	5,302,369	04/12/1994	Day et al.			
	AMMMM	5,314,974	05/24/1994	Ito et al.			
	ANNNN	5,316,774	05/31/1994	Eury et al.			
	A0000	5,344,452	09/06/1994	Lemperle			
	APPPP	5,344,867	09/06/1994	Morgan et al.			
	AQQQQ	5,354,290	10/11/1994	Gross			
	ARRRR	5,369,133	11/29/1994	Ihm et al.			
	ASSSS	5,369,163	11/29/1994	Chiou et al.			
	ATTTT	5,369,710	06/17/1997	Lo et al.	1		
	AUUUU	5,382,260	01/17/1995	Dormandy, Jr. et al.			
	AVVVV	5,384,124	01/24/1995	Courteille et al.			
	AWWWW	5,397,303	03/14/1995	Sancoff et al.			
	AXXXX	5,398,851	03/21/1995	Sancoff et al.			
	AYYYY	5,403,870	04/04/1995	Gross			
	AZZZZ	5,417,982	05/23/1995	Modi			
	AAAAA	5,431,174	07/11/1995	Knute	_		
	ABBBBB	5,435,645	07/25/1995	Faccioli et al.			
	ACCCCC	5,456,693	10/10/1995	Conston et al.			
-	ADDDDI	5,468,801	11/21/1995	Antonelli et al.			

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Substitute Form PTO-1449 (Modified)

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. Application No. 01194-461001 10/791,552

Information Disclosure Statement by Applicant (Use several sheets if necessary) Applicant DiCarlo et al.

March 2, 2004

Filing Date

Group Art Unit

(37 CFR §1.98(b))

**U.S. Patent Documents** Examiner Document **Publication** Filing Date Desig. Initial ID Number Date Patentee Class Subclass If Appropriate 5,469,854 11/28/1995 Unger et al. **AEEEEE** 12/19/1995 Dormandy, Jr. et al. 5,476,472 **AFFFFF** Wallace et al. 01/16/1996 5,484,584 AGGGGG 5,490,984 02/13/1996 Freed AHHHH Cohen et al. 5,494,682 02/27/1996 AIIII 5,494,940 02/27/1996 Unger et al. AJJJJJ 5,512,604 04/30/1996 Demopolis AKKKKK ALLLLL 5,514,090 07/07/1996 Kriesel et al. 06/11/1996 5,525,334 Ito et al. MMMMI 5,534,589 07/09/1996 Hager et al. ANNNN 07/30/1996 5,541,031 Yamashita et al. AOOOOd APPPPP 5,542,935 08/06/1996 Unger et al. AQQQQ 5,553,741 09/10/1996 Sancoff et al. ARRRRR 5,556,610 09/17/1996 Yan et al. 5,556,931 09/17/1996 Cercone et al. ASSSSS ATTTTT 5,558,255 09/24/1996 Sancoff et al. 5,558,822 09/24/1996 Gitman et al. AUUUUUL 09/24/1996 Klaveness et al. 5,558,856 AVVVVV 5,559,266 09/24/1996 Klaveness et al. wwww 5,567,415 10/22/1996 Porter KXXXXA AYYYYY 5,569,193 10/29/1996 Hofstetter et al. Klaveness et al. 5,569,449 10/29/1996 **AZZZZZ** 10/29/1996 5,569,468 Modi AAAAA ABBBBBI 5,571,182 11/05/1996 Ersek et al. 5,580,575 12/03/1996 Unger et al. ACCCCC 5,583,162 12/10/1996 Li et al. ADDDDDD AEEEEEF 5,585,112 12/17/1996 Unger et al.

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	closure Statement	Applicant DiCarlo et al.	
(Use several sh	eets if necessary)	Filing Date March 2, 2004	Group Art Unit 1616

				ent Documents			
Examiner	Desig.	Document	Publication	Detentes	Class	Cubalana	Filing Date
Initial	AFFFFF	Number 5,595,821	Date 01/21/1997	Patentee Hager et al.	Class	Subclass	If Appropriate
-	ļ		04/22/1997	Takada et al.	<u> </u>		
	AGGGGG	5 (0) (05	l.,		ļ		
	АНННН		04/29/1997	Takahashi et al.			
	AIIIIII	5,635,215	06/03/1997	Boschetti et al.			
- '	AJJJJJJ	5,637,087	06/10/1997	O'Neil et al.			
	AKKKKK	5,639,710	07/17/1997	Lo et al.			
	ALLLLI	5,648,095	07/15/1997	Illum et al.			
	MMMMM	5,648,100	07/15/1997	Boschetti et al.			
	ANNNNN	5,650,116	07/22/1997	Thompson	-		
	A00000	5,651,990	01/29/1997	Takada et al.			
	APPPPP	5,653,922	08/05/1997	Li et al.			
	AQQQQQ	5,657,756	08/19/1997	Vrba			
	ARRRRI	5,681,576	10/28/1997	Henry	-		
	ASSSSS	5,715,824	02/10/1998	Unger et al.			
	ATTTTT	5,695,480	12/09/1997	Evans et al.			
	AUUUUU	5,695,740	12/09/1997	Porter			
	AVVVVV	5,698,271	12/16/1997	Liberti et al.			
	wwwww	5,701,899	12/30/1997	Porter			
	AXXXXX	5,716,981	02/10/1998	Hunter et al.			
	AYYYYY	5,718,884	02/17/1998	Klaveness et al.			
	AZZZZZZ	5,723,269	03/03/1998	Akagi et al.			
	ААААА	5,725,534	03/10/1998	Rasmussen			
	ввввв	5,746,734	05/05/1998	Dormandy, Jr. et al.			
	CCCCC	5,752,974	05/19/1998	Rhee et al.			
	DDDDDD	5,760,097	06/02/1998	Li et al.			
	AEEEEEE	5,766,147	06/16/1998	Sancoff et al.		<del> </del>	
	AFFFFF	5,770,222	06/23/1998	Unger et al.		-	

Examiner Signature	Date Considered
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EXAMINER: Initials citation considered. Draw line through citation if no	t in conformance and not considered. Include conv of this form with

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 01194-461001	Application No. 10/791,552	
	closure Statement plicant	Applicant DiCarlo et al.		
(Use several she	eets if necessary)	Filing Date March 2, 2004	Group Art Unit 1616	

			U.S. Pate	ent Documents			
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	GGGGG	5,779,668	07/14/1998	Grabenkort			
	ннннн	5,785,642	07/28/1998	Wallace et al.			
	AIIIIII	5,785,682	07/28/1998	Grabenkort			
1 111	AJJJJJJ	5,792,478	08/11/1998	Lawin et al.			
	KKKKKK	5,795,562	08/18/1998	Klaveness et al.			
	ALLLLL	5,797,953	08/25/1998	Tekulve			
	иммммі	5,807,323	09/15/1998	Kriesel et al.			
	NNNNN	5,813,411	09/29/1998	Van Bladel et al.			
	.000000	5,823,198	10/20/1998	Jones et al.			
	APPPPPP	5,827,502	10/27/1998	Klaveness et al.			
	QQQQQ	5,827,531	10/27/1998	Morrison et al.			
	RRRRRR	5,830,178	11/03/1998	Jones et al.			
	ASSSSSS	5,833,361	11/10/1998	Funk		··	
	ATTTTTT	5,846,518	12/08/1998	Yan et al.			
	שטטטטט	5,853,752	12/29/1998	Unger et al.			
	vvvvv	5,855,615	01/05/1999	Bley et al.			
·	wwww	5,863,957	01/26/1999	Li et al.			
	XXXXX	5,876,372	03/02/1999	Grabenkort et al.			
	YYYYY	5,877,224	03/02/1999	Brocchini et al.			
	AZZZZZZ	5,885,547	03/23/1999	Gray			
	AAAAA	5,885,216	03/29/1999	Evans, III et al.			
	BBBBBBI	5,888,546	03/30/1999	Ji et al.			
	ccccc	5,894,022	04/13/1999	Ji et al.			
	DDDDDD	5,899,877	04/04/1999	Leibitzki et al.		*	
	EEEEEE	5,891,155	04/06/1999	Irie			
	AFFFFFF	5,895,411	04/20/1999	Irie			
	GGGGG	5,902,832	05/11/1999	Van Bladel et al.			

Examiner Signature	Date Considered
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Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
IIIIIai	нинини	5,922,025	07/13/1999	Hubbard	Class	Subciass	п Арргорпасе
	AIIIIIII	5,922,304	07/13/1999	Unger			
	AJJJJJJJ	5,928,626	07/27/1999	Klaveness et al.			
	KKKKKK	5,935,553	08/10/1999	Unger et al.			
	LLLLLLI	5,951,160	09/14/1999	Ronk			
	MMMMM	5,957,848	09/28/1999	Sutton et al.			
	NNNNN	5,959,073	09/28/1999	Schlameus et al.			
	00000	6,003,566	12/21/1999	Thibault et al.			
	APPPPPP	6,015,546	01/18/2000	Sutton et al.			
	QQQQQ	6,027,472	02/22/2000	Kriesel et al.			
	RRRRRRI	6,028,066	02/22/2000	Unger			
	ASSSSSS	6,047,861	04/11/2000	Vidal et al.			
	TTTTTT	6,051,247	04/18/2000	Hench et al.			
	บบบบบบ	6,059,766	05/09/2000	Greff			
	vvvvv	6,063,068	05/16/2000	Fowles et al.			
	wwww	6,071,495	06/06/2000	Unger et al.			
	xxxxx	6,071,497	06/06/2000	Steiner et al.		•	
	YYYYYY	6,073,759	06/13/2000	Lamborne et al.			
	ZZZZZZ	6,090,925	07/18/2000	Woiszwillo et al.			
	AAAAA	6,096,344	08/01/2000	Liu et al.			
	вввввв	6,099,064	08/07/2000	Lund			
<del> </del>	ccccc	6,099,864	08/08/2000	Morrison et al.			
	DDDDDD	6,100,306	08/08/2000	Li et al.			
-	EEEEEEE	6,139,963	10/31/2000	Fujii et al.			
-	FFFFFFF	6,149,623	11/21/2000	Reynolds			
	GGGGGG	6,162,377	12/19/2000	Ghosh et al.			
	ннннн	6,165,193	12/26/2000	Greene, Jr. et al.			

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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 01194-461001	Application No. 10/791,552	
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			U.S. Pate	ent Documents			
Examiner	Desig.	Document	Publication			0 1 -1	Filing Date
Initial	ID	Number	Date	Patentee	Class	Subclass	If Appropriate
	AIIIIIIII	6,191,193 B1	02/20/2001	Lee et al.			
	AJJJJJJJ	6,214,331 B1	04/10/2001	Vanderhoff et al.			
	KKKKKK	6,224,794 B1	05/01/2001	Amsden et al.			
	LLLLLL	6,224,630	05/01/2001	Bao et al.			
	иммммі	6,235,224 B1	05/22/2001	Mathiowitz et al.			
	NNNNN	6,238,403 B1	05/29/2001	Greene, Jr. et al.			
	000000	6,258,338	07/10/2001	Gray			
	PPPPPPP	6,261,585 B1	07/17/2001	Sefton et al.			
	QQQQQ	6,264,861 B1	07/24/2001	Tavernier et al.		-	
	RRRRRR	6,267,154 B1	07/31/2001	Felicelli et al.			
	sssssss	6,268,053 B1	07/31/2001	Woiszwillo et al.			
	TTTTTT	6,277,392 B1	08/21/2001	Klein			
	וטטטטטנ	2001/0016210 A1	08/23/2001	Mathiowitz et al.		*	
	VVVVV	6,291,605 B1	09/18/2001	Freeman et al.		<del></del>	
	wwww	6,306,427	10/23/01	Annonier et al.			
	XXXXX	6,306,418 B1	10/23/2001	Bley			
	YYYYY	2001/0001835	05/24/2001	Greene, Jr. et al.			
	ZZZZZZ	2001/0036451 A1	11/01/2001	Goupil et al.			
	AAAAA	6,315,709	11/13/201	Garibaldi et al.			
	BBBBBBI	2001/0051670 A1	12/13/2001	Goupil et al.			
	ccccc	6,335,384 B1	01/01/2002	Evans et al.			
	DDDDDD	6,344,182 B1	02/05/2002	Sutton et al.			
	EEEEEEE	6,394,965 B1	05/28/2002	Klein			
	FFFFFFF	6,423,332 B1	07/23/2002	Huxel et al.		-	
<del></del>	GGGGG	6,432,437 B1	08/13/2002	Hubbard			
-	ннннн	6,602,524 B2	08/05/2003	Batich et al.			
	AIIIIIIII	6,680,046	01/20/2004	Boschetti			

Examiner Signature

Date Considered

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(Use several sh	eets if necessary)	Filing Date March 2, 2004	Group Art Unit 1616	

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Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
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Examiner	Desig.	Document	Publication	Country or			Tran	slation
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<u> </u>	vvvvv	DE 94 14 868.6	02/1995	Germany				Х
	wwwww	808.0	02/1995	Germany (abstract)			х	
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	closure Statement	Applicant DiCarlo et al.		
(Use several sheets if necessary) (37 CFR §1.98(b))		Filing Date March 2, 2004	Group Art Unit 1616	

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	Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 01194-461001	Application No. 10/791,552	
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Examiner Signature	Date Considered
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next communication to applicant.	

· ·		Attorney's Docket No. 01194-461001	Application No. 10/791,552	
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant DiCarlo et al.		
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Information Disclosure Statement by Applicant	Applicant DiCarlo et al.	
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Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant DiCarlo et al.	
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